

Macquarie Online Trading third party authority

Macquarie Bank Limited ABN 46 008 583 542 AFSL 237502. Macquarie Investment Management Limited ABN 66 002 867 003 AFSL 237492 RSEL L0001281

Use this form to authorise someone else to operate your account on your behalf and specify the level of authority you wish to give them.



Please consider carefully who you appoint as a third party authority on your accounts as we may follow their instructions as if they were yours and they will have access to your account details (BSB, account number and account name), account balance and transaction history, which includes your personal information ('**Account Data**'). It is important that you understand this risk and carefully consider what level of authority you give to them. Please see section 6 for more information.

Please use black ink and mark boxes with an [X].

Please return this form by email to transact@macquarie.com or by mail to **Reply Paid 85744 Sydney NSW 2001**.

1

Your Macquarie Account details

Please enter the Macquarie Online Trading Account number you wish to add a Third Party Authority to.

Account number

Account name

To cancel a Third Party Authority ► **go to section 2**. To authorise an Individual ► **go to section 3**. To authorise a Financial Services Professional or Administrator ► **go to section 4**.

2

Would you like to cancel a Third Party Authority?

No

Yes, please specify:

Existing authorities will remain on your account if this section is not completed.

3 Appointment of an Individual

Authority over Macquarie Online Trading account



Complete this section to provide enquiry and/or transacting authority to an individual on your Macquarie Online Trading account. Note all authorities on your Macquarie Online Trading account will also be given Enquiry Authority on your linked Macquarie Cash Management Account.

Full Trading Authority Enquiry Authority

All fields are mandatory

Title Full name(s)
Any other names known by
Date of birth / / Macquarie Access Code (if known)

If you do not have a Macquarie Access Code, we will generate one and send it to the email address provided.

Residential address (cannot be a post office box)

Occupation Industry

How have you accumulated your wealth?

- Savings from employment
- Business operations
- Superannuation
- Investments
- Inheritance/Gift
- Foreign income

Mother's maiden name

Mobile number Email address

Macquarie employee No ► **go to next question** Yes ► **go to signature section**

Are you related to a Macquarie employee who is your partner/guides your investment decision/resides at the same address and financially dependent?*

No Yes ► If yes, please provide employee's full name

By completing this form, you accept and agree to be bound by the terms and conditions. If you do not already have a copy of the terms and conditions you can obtain it from macquarie.com.au/mgl/au/apps/online-trading/terms-and-conditions or by contacting us.

Authorised Signature

Date / /



If the individual is being appointed with full trading authority and is not an existing Macquarie client, please also complete an *Identification form for individuals*. Form is available at macquarie.com.au/idforms

4 Appointment of a Financial Services Professional or Administrator

You can use this section to appoint a Financial Services Professional (for example a financial adviser or accountant) to have access to your account.

Full name(s)

Company

Company Code (if known) Representative Code (if known)

Macquarie Access Code (if known)

If your Financial Services Professional does not have a Macquarie Access Code with Macquarie Online Trading access, they will need to complete the Macquarie Registration - Representative form. Form is available at macquarie.com.au/idforms under Cash section.

Authority over Macquarie Online Trading Account

Complete this section to provide enquiry and/or transacting authority to Financial Service Professionals on your Macquarie Online Trading account. Note: All authorities appointed on your Macquarie Online Trading account will also be given Enquiry Authority on your linked Macquarie Cash Management Account.

Full Trading Authority **Only Australian Financial Services Licence (AFSL) representatives may receive Full Trading Authority**

Would you like to update your details to add/replace the above Financial Services Professional on your account replacing the current primary Financial Services Professional (if applicable)?

Yes No Existing authorities will remain on your account if this section is not completed

This must be signed by the Financial Services Professional noted in section 4 if requesting Full Trading Authority and/or updating the current primary Financial Services Professional.

Authorised Signature

Date

Authority over Macquarie Online Trading Account

Enquiry Authority Financial Services Professional's signature not required if requesting enquiry only authority

Financial Services Professional declaration: By completing this form, you accept and agree to be bound by the terms and conditions. If you do not already have a copy of the terms and conditions you can obtain it from macquarie.com.au/mgl/au/apps/online-trading/terms-and-conditions or by contacting us.

5 Declaration and signature of account holders

For personal accounts and non-corporate trusts, all account holders must sign. For company accounts two directors, a director and a secretary, or a sole director must sign. Please note that the directors/secretary who sign must be existing account signatories.



You can sign this form electronically via one of our approved electronic signature providers and submit the form via email with any additional documentation required. Please visit Help Centre to view our submission requirements and a list of our approved electronic signature providers. Please attach a copy of the account holder(s) Driver's License or Passport. The identification does not need to be certified.

I/we accept and agree to be bound by the terms and conditions in the applicable offer document and in section 6 of this form, that relate to the appointment of third party authorities. I/we authorise the Individual(s) whose signature(s) appear in section 3, or the financial specialist whose name appears in section 4 which includes the Individual signatories appointed by the company/dealer group, to operate my/our account. I/we acknowledge that, even if I/we do not make use of Macquarie's various electronic and telephone services, the appointee(s) may be able to make use of those services in operating my/our account on my/our behalf.

Signature 1

Date

 / /

Title

Name

If a company officer, your corporate title

Signature 2

Date

 / /

Title

Name

If a company officer, your corporate title

6 Terms and conditions

General terms which apply to all levels of authority

6.1 You:

- a) indemnify us against all loss, liabilities and costs incurred directly or indirectly as a result of the appointment of a third party
- b) indemnify us against all loss, liabilities and costs incurred directly or indirectly in connection with any action by a third party under their appointment or any payment made from your account on their instruction



Terms and conditions (continued)

- c) release us from all claims and liabilities in connection with any act or omission relating to the appointment of a third party to your Account, and
- d) release us from all claims and liabilities in connection with any action by a third party under their appointment or any payment made from your account on their instruction.

6.2 However, we remain liable for any loss or liability which, by operation of law we cannot exclude.

6.3 This authority takes effect on the date that we amend our records to note the appointment and continues until you cancel it by telling us in writing. Cancellation also takes effect on the date that we amend our records to note the change.

6.4 We may cancel the appointment of a third party as an authority on your account by telling you in writing.

6.5 In the event of the death of an account holder, the authority given under this form will automatically terminate.

6.6 Third parties may not set up Direct Debits on your Account or give other third parties access or authority on your Account.

6.7 You agree to terms and conditions for Macquarie Online as set out in the applicable offer document available from macquarie.com.au/personal.

Cash Management Account Enquiry Authority

6.8 You:

- a) authorise the third party to have access to information about your account
- b) You do not authorise the third party to make withdrawals from your account, make any changes to your account details, close your account or enable use of your cheque book.

Online Trading Full Trading Authority

6.9 You:

- a) authorise the Authorised Party/Parties outlined in this document to act on your behalf in connection with all products and services, to the extent of their authority set out below:
 - i. To have access to, enquire about and receive information pertaining to your account.
 - ii. To acquire, buy, deal with, dispose of, or sell any financial products.
- b) acknowledge that you are responsible for and bound by the instructions given by the Authorised Party/Parties, and you indemnify Third Party Platform Pty Ltd in relation to the actions of your Authorised agent.
- c) acknowledge that the Authorised Party/Parties will be provided relevant account access and you will not provide your personal access and login details to the Authorised Party/Parties.
- d) acknowledge that it is your obligation to be aware of any activity undertaken on my/our behalf by the Authorised Party/Parties.
- e) authorise and request Macquarie Online Trading to accept and act upon any instructions issued by the Authorised Party/Parties pursuant to this authority and undertake to ratify whatever the signatory lawfully does or causes to be done pursuant to this authority.
- f) understand that the appointment of an Authorised Party/Parties remains effective until revoked by providing notice in writing.

Online Trading Enquiry Authority

6.10 You:

- a) agree to the terms and conditions for Macquarie Online Trading as set out in the document available from macquarie.com.au/mgl/au/apps/online-trading/terms-and-conditions.
- b) acknowledge that Third Party Platform Pty Ltd collects particulars in order to allow you exercise this authority.
- c) consent to the uses and disclosures of your personal information set out in the Privacy sections of those Terms and Conditions.